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UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION
CORPORATION,

Plaintiff,

v.

BERNARD L. MADOFF INVESTMENT
SECURITIES LLC,

Defendant.

Adv. Pro. No. 08-01789 (BRL)

SIPA Liquidation

(Substantively Consolidated)

In re

BERNARD L. MADOFF,

Debtor.

**FED. R. BANKR. P. 8006 STATEMENT OF ISSUES
AND DESIGNATION OF RECORD ON APPEAL**

On September 24, 2013, the Customers set forth on the attached Schedule A (“Appellants”) filed a Notice of Appeal (ECF No. 5513) from the “Memorandum Decision and Order Granting, to the Extent Set Forth Herein, the Trustee’s Motion for an Order Affirming the Trustee’s Calculations of Net Equity and Denying Time-Based Damages” (ECF No. 5463) (“Order”) entered in the above-captioned action on September 10, 2013. As set forth in the Notice of Appeal, the Appellants seek to appeal the Order directly to the United States Court of Appeals for the Second Circuit, pursuant to 28 U.S.C. Section 158(d)(2). On September 24, 2013, the Bankruptcy Court certified the Order for direct appeal to the Second Circuit. *See* Court’s Certification of Net Equity Adjustment Order of September 10, 2013 for Immediate Appeal to the United States Court of Appeals Pursuant to 28 U.S.C. § 158(d)(2) (ECF No. 5514). The Appellants will be filing in the Second Circuit a petition requesting permission to appeal. *See* Fed. R. Bankr. P. 8001(f)(5); Fed. R. App. P. 5.

In the event that the Second Circuit should deny Appellants’ petition for leave to appeal, and to preserve Appellants’ right of direct appeal to the District Court, 28 U.S.C. § 158(a)(1), Appellants submit this Statement of Issues to be presented on appeal and Designation of Items to be included in the record on appeal, pursuant to Fed. R. Bankr. P. 8006 and Local Rule 8007-1.

I. STATEMENT OF ISSUES ON APPEAL

1. Did the Bankruptcy Court err in ruling, as a matter of law, that an inflation adjustment should not be made in this case when calculating “net equity” under the Securities Investor Protection Act (“SIPA”)?

2. Did the Bankruptcy Court err in failing to give deference to the Securities and Exchange Commission’s position that the calculation of “net equity” claims of Madoff Securities’ customers should be adjusted for inflation?

3. Did the Bankruptcy Court err in refusing to consider evidence demonstrating that an inflation adjustment to the calculation of “net equity” reflects well-accepted economic principles and addresses significant inequities while resulting in only minimal burden to the Trustee?

II. DESIGNATION OF RECORD ON APPEAL

A. Order Appealed From, Transcript of Hearing, and Notice of Appeal¹

Filing/Entry Date	ECF No.	Docket Text
Sept. 10, 2013	5463	Written Opinion/Memorandum Decision and Order signed on 9/10/2013 Granting, to the Extent Set Forth Herein, the Trustee's Motion for an Order Affirming the Trustee's Calculations of Net Equity and Denying Time-Based Damages (related document(s)5038, 5039). (Saenz De Viteri, Monica) Modified on 9/10/2013 (Richards, Beverly). (Entered: 09/10/2013) (30 pgs)
Sept. 10, 2013	5476	Transcript regarding Hearing Held on 09/10/2013 10:03AM RE: Trustees Motion for an Order Affirming Trustees Calculations of Net Equity and Denying Time-Based Damages. Remote electronic access to the transcript is restricted until 12/9/2013. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Reporting Company.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 9/17/2013. Statement of Redaction Request Due By 10/1/2013. Redacted Transcript Submission Due By 10/11/2013. Transcript access will be restricted through 12/9/2013. (Ortiz, Carmen) (Entered: 09/17/2013)
Sept. 24, 2013	5513	Notice of Appeal (related document(s)5463) filed by Jennifer L. Young on behalf of 2427 Parent Corporation, Advent Management Corp. Pension Plan and Trust, Gary Albert, Albert Family Retirement LP, Albert J. Goldstein U/W FBO Ruth E. Goldstein TTEE, Andrew Katz and Deborah Katz JT TEN, Aspen Fine Arts Co., Aspen Fine Arts Co. Defined Contribution Plan, Linda & Howard Berger, Gerald Blumenthal (IRA), Jan Marcus Capper, Judith G. Damron, Deborah G. Katz and Deborah Katz as Custodian for Alexander & Jason Katz T/I/C, Ann Denver, Diane Sloves as Tstee Under Rev Tst Agreement Dtd 10/13/00 for the Benefit of Diane Sloves, Do Stay, Inc., Douglas G. Brown, as Trustee of The Douglas G.

¹ All documents included in the Appellants' designation have been filed through the Bankruptcy Court's ECF system and pursuant to Local Rule 8007-1(a) have not been re-filed by the Appellants.

Filing/Entry Date	ECF No.	Docket Text
		Brown Revocable Trust, Norton Eisenberg, Elbert R. Brown, Trustee U/T/D/ 12/29/88, Estate of Doris M. Pearlman, Export Technicians, Inc., Forecast Designs Retirement Trust, Lewis Franck, Amy Thau Friedman, P. Charles Gabriele, Irving Gerberg, Stephen R. Goldenberg, Judith Rock Goldman, Ruth E. Goldstein, Marilyn C Gross, Jerry Guberman, Barbara Harris, Elizabeth Harris Brown, Horowitz Family Trust, Jack Kaufman and Phyllis Kaufman JTWROS, Jerry Guberman Trust Dated 12/23/93, John Denver Concerts Inc. Pension Plan Trust, John Malkovich Pension Plan and Trust, Anita Karimian, Kenneth D. Bane 2006 Trust, Kenneth D. Bane, Trustee, Lawrence I. Brown and Barbara Brown JTWROS, Laurence Leif, Lester Kolodny, Robin Popkin Logue, Trustee of the Joseph S. Popkin Revocable Trust, John Malkovich, I Martin Gelman and Michale Dancer JT/WROS, Michael Mathias and Stacey Mathias JTWROS, Jonathan Michaeli, Onesco International Ltd., Michael H. and Lisa Ostrove, June Pollack, Robert Potamkin, Robert and Lexie Potamkin, Potamkin Family Foundation I, Inc., Robin I. Logue and Peter G. Logue, as co-trustees of the Logue Family Revocable Trust, Paul J. Robinson, Delia Gail Rosenberg, Florence Roth, Lynda Roth, Michael Roth, Richard Roth, Marvin Schlachter, Trudy Schlachter, Barbara Schlossberg, Bernard Seldon, Dorothy B. Seldon, Lynn Lazarus Serper, Michael and Sandra Silverstein, Joseph Sloves, Jonathan Sobin, Leila F. Sobin (IRA), Steven V. Marcus Separate Property Marcus Family Trust, Harold A. Thau, Michael E. Thau, The Adina Michaeli Revocable Trust, The Aspen Company, The Marcus Family Limited Partnership, Trust U/W/O Harriette Myers, Viola Brown, Trustee U/T/D/ 12/29/88, Westben Corp., William M. Woessner, William M. Woessner and Sheila A. Woessner. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2)(Young, Jennifer) (Entered: 09/24/2013) (44 pgs; 3 docs)
Sept. 24, 2013	5514	Order signed on 9/24/2013 RE: Courts Certification of Net Equity Adjustment Order of September 10, 2013 for Immediate Appeal to The United States Court of Appeals Pursuant To 28 U.S.C. Section 158(d)(2) (related document(s)5463, 5038, 5488). (Saenz De Viteri, Monica) (Entered: 09/24/2013) (5 pgs)
Sept. 24, 2013	5516	Notice of Appeal (related document(s)5463) filed by Elise Scherr Frejka on behalf of Customers identified on Exhibit A. (Frejka, Elise) (Entered: 09/24/2013) (51 pgs)

B. Motions, Briefs, and Evidentiary Material

Filing/Entry Date	ECF No.	Docket Text
July 9, 2009	314	Trustee's Interim Report /Trustee's First Interim Report for the Period December 11, 2008 through June 30, 2009 filed by Irving H. Picard on behalf of Irving H Picard Esq. (Attachments: 1 Exhibit A2 Appendix Certificate of Service)(Picard, Irving) (Entered: 07/09/2009)
July 10, 2009	320	First Application for Interim Professional Compensation For Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred for Irving H Picard Esq., Trustee Chapter 7, period: 12/15/2008 to 4/30/2009, fee:\$759,228.75, expenses: \$45.00. filed by Irving H Picard Esq.. with hearing to be held on 8/6/2009 at 10:00 AM at Courtroom 623 (BRL) Responses due by 8/3/2009, (Attachments: 1 Exhibit A2 Exhibit B) (Picard, Irving) Modified on 7/17/2009 (Richards, Beverly). (Entered: 07/10/2009) (19 pgs; 3 docs)
July 10, 2009	321	First Application for Interim Professional Compensation for Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred for Baker & Hostetler LLP, Trustee's Attorney, period: 12/15/2008 to 4/30/2009, fee:\$14662319.83, expenses: \$274,203.03. filed by David J. Sheehan. with hearing to be held on 8/6/2009 at 10:00 AM at Courtroom 623 (BRL) Responses due by 8/3/2009, (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Exhibit D) (Sheehan, David) (Entered: 07/10/2009) (31 pgs; 5 docs)
Aug. 06, 2009	363	Order Granting Application for Interim Professional Compensation (Related Doc # 320)for Irving H. Picard, fees awarded: \$607383.00, expense awarded: \$45.00, Granting Application for Interim Professional Compensation (Related Doc # 321)for Baker & Hostetler LLP, fees awarded: \$11729855.86, expense awarded: \$274203.03, Granting Application for Final Professional Compensation (Related Doc # 323)for Windels Marx Lane & Mittendorf, LLP, fees awarded: \$199500.00, expense awarded: \$2770.46, Granting Application for Interim Professional Compensation (Related Doc # 328)for Attias & Levy, fees awarded: \$11580.25, expense awarded: \$339.67, Granting Application for Interim Professional Compensation (Related Doc # 329)for Eugene F. Collins, fees awarded: \$65497.41, expense awarded: \$26192.27, Granting Application for Interim Professional Compensation (Related Doc # 330)for Lovells LLP, fees awarded: \$599632.52, expense awarded: \$27016.47, Granting Application for Interim Professional Compensation (Related Doc # 331)for Williams, Barristers & Attorneys, fees awarded: \$106392.48, expense awarded: \$19593.75, Granting

Filing/Entry Date	ECF No.	Docket Text
		Application for Interim Professional Compensation (Related Doc # 332)for Schiltz & Schiltz, fees awarded: \$40560.39, expense awarded: \$4119.41, Granting Application for Interim Professional Compensation (Related Doc # 333)for Higgs Johnson Truman Bodden & Co., fees awarded: \$8608.32, expense awarded: \$223.18 signed on 8/6/2009. (Chou, Rosalyn) (Entered: 08/06/2009) (4 pgs)
Aug. 27, 2009	395	Motion to Authorize /Motion For an Order to Schedule Hearing on "Net Equity" Issue filed by Marc E. Hirschfield on behalf of Irving H Picard Esq. with hearing to be held on 9/9/2009 at 10:00 AM at Courtroom 623 (BRL) Responses due by 9/3/2009, (Attachments: 1 Notice of Motion2 Exhibit A3 Exhibit B4 Appendix Certificate of Service) (Hirschfield, Marc) (Entered: 08/27/2009)
Nov. 23, 2009	998	Second Application for Interim Professional Compensation for Irving H. Picard, Trustee Chapter 7, period: 5/1/2009 to 9/30/2009, fee:\$915346.69, expenses: \$921.25. filed by Irving H. Picard. with hearing to be held on 12/17/2009 at 10:00 AM at Courtroom 623 (BRL) Responses due by 12/14/2009, (Attachments: 1 Exhibit A2 Exhibit B) (Picard, Irving) (Entered: 11/23/2009) (31 pgs; 3 docs)
Nov. 23, 2009	1010	Second Application for Interim Professional Compensation for Baker & Hostetler LLP, Trustee's Attorney, period: 5/1/2009 to 9/30/2009, fee:\$23076176.37, expenses: \$280681.62. filed by David J. Sheehan. with hearing to be held on 12/17/2009 at 10:00 AM at Courtroom 623 (BRL) Responses due by 12/14/2009, (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Exhibit D5 Exhibit E) (Sheehan, David) (Entered: 11/23/2009) (66 pgs; 6 docs)
Nov. 23, 2009	1011	Trustee's Interim Report /Trustee's Second Interim Report For The Period Ending 10/31/2009 filed by Irving H. Picard on behalf of Irving H. Picard. (Attachments: 1 Exhibit A)(Picard, Irving) (Entered: 11/23/2009)
Dec. 11, 2009	1052	Memorandum of Law of the Securities and Exchange Commission Supporting Trustee's Determination that Net Equity Should Not be Based on Securities Positions Listed on Last Statements, and Supporting in Part Trustee's Determination that Net Equity Should be Based Upon Amounts Deposited Less Amounts Withdrawn (related document(s)524) filed by Patricia Schrage on behalf of Securities and Exchange Commission. (Schrage, Patricia) (Entered: 12/11/2009)
Dec. 17, 2009	1078	Order signed on 12/17/2009 Granting (I)Application for Interim Professional Compensation (Related Doc # 998)for Irving H. Picard, fees awarded: \$835605.00, expense awarded: \$921.25, Granting Application for Interim Professional

Filing/Entry Date	ECF No.	Docket Text
		Compensation (Related Doc # 1010)for Baker & Hostetler LLP, fees awarded: \$21279101.85, expense awarded: \$280681.62 and (II) To amend the order pursuant to Section 78eee(b)(5)of SIPA, Section 105,330 and 331 of the Bankruptcy Code, Bankruptcy Rule 2016 (a) and Local Bankruptcy Rule 2016-1 establishing procedures covering interim monthly compensation of trustee and Baker & Hostetler LLP. (Chou, Rosalyn) (Entered: 12/17/2009) (8 pgs)
Apr. 09, 2010	2188	Application for Interim Professional Compensation /Third Application of Irving H. Picard, Trustee For Allowance of Interim Compensation For Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred From 10/1/2009 through 1/31/2010 for Irving H. Picard, Trustee Chapter 7, period: 10/1/2009 to 1/31/2010, fee:\$671591.25, expenses: \$77.66. filed by David J. Sheehan. with hearing to be held on 5/5/2010 at 10:00 AM at Courtroom 623 (BRL) (Attachments: 1 Exhibit A2 Exhibit B) (Sheehan, David) (Entered: 04/09/2010) (30 pgs; 3 docs)
Apr. 09, 2010	2189	Application for Interim Professional Compensation /Third Application of Baker & Hostetler LLP For Allowance of Interim Compensation For Services Rendered And Reimbursement Of Actual and Necessary Expenses Incurred From 10/1/2009 through 1/31/2010 for Baker & Hostetler, L.L.P., Trustee's Attorney, period: 10/1/2009 to 1/31/2010, fee:\$23884085.25, expenses: \$390204.89. filed by David J. Sheehan. with hearing to be held on 5/5/2010 at 10:00 AM at Courtroom 623 (BRL) (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Exhibit D) (Sheehan, David) (Entered: 04/09/2010) (52 pgs; 5 docs)
Apr. 09, 2010	2207	Trustee's Interim Report /Trustee's Amended Third Interim Report For The Period Ending March 31, 2010 (related document(s)2186) filed by Irving H. Picard on behalf of Irving H. Picard. (Attachments: 1 Exhibit A)(Picard, Irving) (Entered: 04/14/2010)
May 06, 2010	2251	Order Granting Application for Interim Professional Compensation (Related Doc # 2188)for Irving H. Picard, fees awarded: \$570852.56, expense awarded: \$77.66, Granting Application for Interim Professional Compensation (Related Doc # 2189)for Baker & Hostetler, L.L.P., fees awarded: \$20301472.46, expense awarded: \$390204.89, Granting Application for Interim Professional Compensation (Related Doc # 2190)for Schiltz & Schiltz, fees awarded: \$68605.20, expense awarded: \$5574.17, Granting Application for Interim Professional Compensation (Related Doc # 2191)for Higgs Johnson Truman Bodden & Co., fees awarded: \$42840.00,

Filing/Entry Date	ECF No.	Docket Text
		expense awarded: \$7680.91, Granting Application for Interim Professional Compensation (Related Doc # 2193)for Eugene F. Collins, fees awarded: \$8166.53, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 2194)for Williams, Barristers & Attorneys, fees awarded: \$64400.00, expense awarded: \$12495.00, Granting Application for Interim Professional Compensation (Related Doc # 2195)for Attias & Levy, fees awarded: \$156300.48, expense awarded: \$7218.52, Granting Application for Interim Professional Compensation (Related Doc # 2196)for Lovells LLP, fees awarded: \$306502.56, expense awarded: \$43672.39, Granting Application for Interim Professional Compensation (Related Doc # 2197)for Windels Marx Lane & Mittendorf, LLP, fees awarded: \$793231.52, expense awarded: \$16914.96, Granting Application for Interim Professional Compensation (Related Doc # 2198)for Kugler Kandestin, L.L.P., fees awarded: \$4094.86, expense awarded: \$193.08 signed on 5/5/2010. (Chou, Rosalyn) (Entered: 05/06/2010) (6 pgs)
Aug. 20, 2010	2883	Application for Interim Professional Compensation Fourth Application Of Trustee and Baker & Hostetler LLP For Allowance Of Interim Compensation For Services Rendered And Reimbursement of Actual and Necessary Expenses Incurred From February 1, 2010 through May 31, 2010 for Baker & Hostetler, L.L.P., Trustee's Attorney, period: 2/1/2010 to 5/31/2010, fee:\$33981534.45, expenses: \$731371.19, for Irving H. Picard, Trustee Chapter 7, period: 2/1/2010 to 5/31/2010, fee:\$601202.25, expenses: \$39.63. filed by David J. Sheehan. with hearing to be held on 9/14/2010 at 10:00 AM at Courtroom 623 (BRL) Responses due by 9/7/2010, (Attachments: 1 Exhibit A - Affidavit of David Sheehan2 Exhibit B - Compensation by Task Code3 Exhibit C - Expense Summary by Trustee4 Exhibit D - Summary of Fourth Interim Fee Application5 Exhibit E - Compensation by Work Task Code6 Exhibit F - Expense Summary by Baker & Hostetler) (Sheehan, David) (Entered: 08/20/2010) (64 pgs; 7 docs)
Sept. 14, 2010	2981	Order Granting Application for Interim Professional Compensation (Related Doc # 2883)for Baker & Hostetler, L.L.P., fees awarded: \$28884304.29, expense awarded: \$731371.19, Granting Application for Interim Professional Compensation (Related Doc # 2883)for Irving H. Picard, fees awarded: \$511021.92, expense awarded: \$39.63, Granting Application for Interim Professional Compensation (Related Doc # 2884)for Windels Marx Lane & Mittendorf, LLP, fees awarded: \$1930696.00, expense awarded: \$24141.50, Granting Application for Interim Professional Compensation (Related

Filing/Entry Date	ECF No.	Docket Text
		<p>Doc # 2886)for Eugene F. Collins, fees awarded: \$38568.80, expense awarded: \$16233.37, Granting Application for Interim Professional Compensation (Related Doc # 2887)for Williams, Barristers & Attorneys, fees awarded: \$68644.00, expense awarded: \$9145.00, Granting Application for Interim Professional Compensation (Related Doc # 2888)for Attias & Levy, fees awarded: \$77710.08, expense awarded: \$10823.89, Granting Application for Interim Professional Compensation (Related Doc # 2889)for SCA Creque, fees awarded: \$8190.00, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 2890)for Schiltz & Schiltz, fees awarded: \$55162.20, expense awarded: \$4481.93, Granting Application for Interim Professional Compensation (Related Doc # 2891)for Lovells LLP, fees awarded: \$268261.92, expense awarded: \$64327.30, Granting Application for Interim Professional Compensation (Related Doc # 2892)for Mishcon de Reya, fees awarded: \$65926.23, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 2893)for Kugler Kandestin, L.L.P., fees awarded: \$10440.65, expense awarded: \$178.62, Granting Application for Interim Professional Compensation (Related Doc # 2894)for Higgs Johnson Truman Bodden & Co., fees awarded: \$75585.96, expense awarded: \$11487.98 signed on 9/14/2010. (Chou, Rosalyn) (Entered: 09/14/2010) (7 pgs)</p>
Nov. 19, 2010	3207	<p>Fifth Application for Interim Professional Compensation Fifth Application of Trustee and Baker & Hostetler LLP for Allowance of Interim Compensation for Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred from June 1, 2010 through September 30, 2010 for Baker & Hostetler, L.L.P., Trustee's Attorney, period: 6/1/2010 to 9/30/2010, fee:\$38522476.35, expenses: \$851332.34, for Irving H. Picard, Other Professional, period: 6/1/2010 to 9/30/2010, fee:\$684659.25, expenses: \$954.41. filed by Baker & Hostetler, L.L.P., Irving H. Picard. with hearing to be held on 12/14/2010 at 10:00 AM at Courtroom 623 (BRL) Responses due by 12/7/2010, (Attachments: 1 Exhibit A2 Exhibit B - F) (Sheehan, David) (Entered: 11/19/2010) (69 pgs; 3 docs)</p>
Dec. 14, 2010	3474	<p>Order Granting Application for Interim Professional Compensation (Related Doc # 3207)for Irving H. Picard, fees awarded: \$681960.34, expense awarded: \$954.41, Granting Application for Interim Professional Compensation (Related Doc # 3208)for Attias & Levy, fees awarded: \$211880.03, expense awarded: \$10827.27, Granting Application for Interim Professional Compensation (Related Doc # 3209)for Eugene F.</p>

Filing/Entry Date	ECF No.	Docket Text
		Collins, fees awarded: \$19013.68, expense awarded: \$745.54, Granting Application for Interim Professional Compensation (Related Doc # 3210)for Hogan Lovells International LLP, fees awarded: \$88455.60, expense awarded: \$29312.01, Granting Application for Interim Professional Compensation (Related Doc # 3211)for Windels Marx Lane & Mittendorf, LLP, fees awarded: \$2418914.00, expense awarded: \$33127.92, Granting Application for Interim Professional Compensation (Related Doc # 3212)for Williams, Barristers & Attorneys, fees awarded: \$106595.38, expense awarded: \$16365.00, Granting Application for Interim Professional Compensation (Related Doc # 3213)for Schiltz & Schiltz, fees awarded: \$73637.40, expense awarded: \$5983.05, Granting Application for Interim Professional Compensation (Related Doc # 3214)for Higgs & Johnson, fees awarded: \$54167.40, expense awarded: \$4735.06, Granting Application for Interim Professional Compensation (Related Doc # 3215)for Kugler Kandestin, L.L.P., fees awarded: \$3988.25, expense awarded: \$117.63, Granting Application for Interim Professional Compensation (Related Doc # 3216)for Werder Vigano, fees awarded: \$27103.12, expense awarded: \$1041.09, Granting Application for Interim Professional Compensation (Related Doc # 3217)for SCA Creque, fees awarded: \$59665.02, expense awarded: \$0.00, Granting Application for Compensation (Related Doc # 3207) for Baker & Hostetler, L.L.P., fees awarded: \$36144104.91, expense awarded: \$851332.34 signed on 12/14/2010. (Chou, Rosalyn) (Entered: 12/14/2010) (7 pgs)
Apr. 18, 2011	4022	Application for Interim Professional Compensation / Sixth Application of Trustee and Baker & Hostetler LLP for Allowance of Interim Compensation for Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred From October 1, 2010 Through January 31, 2011 for Baker & Hostetler, L.L.P., Trustee's Attorney, period: 10/1/2010 to 1/31/2011, fee:\$43177049.10, expenses: \$1103196.25, for Irving H. Picard, Other Professional, period: 10/1/2010 to 1/31/2011, fee:\$713,799.00, expenses: \$31.50. filed by Baker & Hostetler, L.L.P., Irving H. Picard. with hearing to be held on 5/12/2011 at 10:00 AM at Courtroom 623 (BRL) Responses due by 5/5/2011, (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Exhibit D5 Exhibit E6 Exhibit F7 Exhibit G8 Exhibit H) (Sheehan, David) (Entered: 04/18/2011) (95 pgs; 9 docs)
June 01, 2011	4125	Order Granting Applications (I) For Allowance of Compensation for Services Rendered and Reimbursement of Expenses and (II)To Amend the Order Pursuant To Section

Filing/Entry Date	ECF No.	Docket Text
		<p>78eee (b)(5)of SIPA, Section 105, 330 and 331 of the Bankruptcy Code, Bankruptcy Rule 2016 (a), And Local Bankruptcy Rule 2016-1 Establishing Procedures Governing Interim Monthly Compensation of Trustee and Baker & Hostetler LLP, for Interim Professional Compensation (Related Doc # 4022)for Baker & Hostetler, L.L.P., fees awarded: \$42075830.36, expense awarded: \$1103196.25, Granting Application for Interim Professional Compensation (Related Doc # 4022)for Irving H. Picard, fees awarded: \$720033.45, expense awarded: \$31.50, Granting Application for Interim Professional Compensation (Related Doc # 4024)for Attias & Levy, fees awarded: \$144436.86, expense awarded: \$7271.46, Granting Application for Interim Professional Compensation (Related Doc # 4025)for Eugene F. Collins, fees awarded: \$6575.51, expense awarded: \$345.14, Granting Application for Interim Professional Compensation (Related Doc # 4028)for Schiltz & Schiltz, fees awarded: \$70869.00, expense awarded: \$18382.90, Granting Application for Interim Professional Compensation (Related Doc # 4029)for Higgs Johnson Truman Bodden & Co., fees awarded: \$124367.40, expense awarded: \$52015.01, Granting Application for Interim Professional Compensation (Related Doc # 4030)for Kugler Kandestin L.L.P., fees awarded: \$2062.24, expense awarded: \$1.31, Granting Application for Interim Professional Compensation (Related Doc # 4031)for Werder Vigano, fees awarded: \$14586.00, expense awarded: \$67.71, Granting Application for Interim Professional Compensation (Related Doc # 4032)for SCA Creque, fees awarded: \$131939.17, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 4033)for Young Conaway Stargatt & Taylor LLP, fees awarded: \$11182.68, expense awarded: \$912.44, Granting Application for Final Professional Compensation (Related Doc # 4034)for Schifferli Vafadar Sivilotti, fees awarded: \$3482.00, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 4052)for Taylor Wessing, fees awarded: \$1213033.12, expense awarded: \$185485.24, Granting Application for Interim Professional Compensation (Related Doc # 4053)for Williams, Barristers & Attorneys, fees awarded: \$216130.76, expense awarded: \$4582.00, Granting Application for Interim Professional Compensation (Related Doc # 4055)for Windels Marx Lane & Mittendorf, LLP, fees awarded: \$2448081.60, expense awarded: \$68343.34, signed on 6/1/2011. (Chou, Rosalyn) (Entered: 06/01/2011) (10 pgs)</p>
Sept. 21, 2011	4376	Seventh Application for Interim Professional Compensation /

Filing/Entry Date	ECF No.	Docket Text
		Seventh Application of Trustee and Baker & Hostetler LLP for Allowance of Interim Compensation for Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred from February 1, 2011 through May 31, 2011 for Baker & Hostetler, L.L.P., Trustee's Attorney, period: 2/1/2011 to 5/31/2011, fee:\$44727586.65, expenses: \$1270956.31, for Irving H. Picard, Other Professional, period: 2/1/2011 to 5/31/2011, fee:\$599301, expenses: \$479.80. filed by Baker & Hostetler, L.L.P.. with hearing to be held on 10/19/2011 at 10:00 AM at Courtroom 623 (BRL) Responses due by 10/5/2011, (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Exhibit D5 Exhibit E6 Exhibit F) (Sheehan, David) (Entered: 09/21/2011) (97 pgs; 7 docs)
Oct. 21, 2011	4471	Order signed on 10/19/2011 Re: Granting Application for Interim Professional Compensation (Related Doc # 4376)for Baker & Hostetler, L.L.P., fees awarded: \$44727586.65, expense awarded: \$1270956.31, Granting Application for Interim Professional Compensation (Related Doc # 4376)for Irving H. Picard, fees awarded: \$599301.00, expense awarded: \$479.80, Granting Application for Interim Professional Compensation (Related Doc # 4379)for Eugene F. Collins, fees awarded: \$9616.37, expense awarded: \$176.12, Granting Application for Interim Professional Compensation (Related Doc # 4380)for Higgs & Johnson, fees awarded: \$41721.75, expense awarded: \$2794.43, Granting Application for Interim Professional Compensation (Related Doc # 4381)for Taylor Wessing, fees awarded: \$1434276.90, expense awarded: \$249075.70, Granting Application for Interim Professional Compensation (Related Doc # 4382)for UGGC & Associates, fees awarded: \$70603.45, expense awarded: \$8262.14, Granting Application for Interim Professional Compensation (Related Doc # 4383)for Kugler Kandestin, L.L.P., fees awarded: \$6462.53, expense awarded: \$724.25, Granting Application for Interim Professional Compensation (Related Doc # 4384)for Young Conaway Stargatt & Taylor, LLP, fees awarded: \$49484.70, expense awarded: \$840.62, Granting Application for Interim Professional Compensation (Related Doc # 4385)for Osborne & Osborne, P.A., fees awarded: \$1301.85, expense awarded: \$35.21, Granting Application for Interim Professional Compensation (Related Doc # 4386)for SCA Creque, fees awarded: \$159031.51, expense awarded: \$1174.00, Granting Application for Interim Professional Compensation (Related Doc # 4387)for Williams, Barristers & Attorneys, fees awarded: \$282089.89, expense awarded: \$2673.50, Granting Application for Interim Professional

Filing/Entry Date	ECF No.	Docket Text
		Compensation (Related Doc # 4388)for Graf & Pitkowitz Rechtsanwalte GmbH, fees awarded: \$970376.30, expense awarded: \$32139.63, Granting Application for Interim Professional Compensation (Related Doc # 4389)for Schiltz & Schiltz, fees awarded: \$60220.27, expense awarded: \$9248.79, Granting Application for Interim Professional Compensation (Related Doc # 4391)for Windels Marx Lane & Mittendorf, LLP, fees awarded: \$2987422.80, expense awarded: \$93630.76. (Greene, Chantel) (Entered: 10/21/2011) (9 pgs)
Nov. 15, 2011	4529	Trustee's Interim Report / Trustee's Sixth Interim Report for the Period Ending September 30, 2011 filed by Irving H. Picard on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A)(Picard, Irving) (Entered: 11/15/2011) (54 pgs; 2 docs)
Feb. 17, 2012	4676	Eighth Application for Interim Professional Compensation / Eighth Application of Trustee and Baker & Hostetler LLP for Allowance of Interim Compensation for Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred from June 1, 2011 through September 30, 2011 for Baker & Hostetler, L.L.P., Trustee's Attorney, period: 6/1/2011 to 9/30/2011, fee:\$47967371.55, expenses: \$1245333.46. filed by Baker & Hostetler, L.L.P.. with hearing to be held on 3/15/2012 at 10:00 AM at Courtroom 623 (BRL) Responses due by 3/8/2012, (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D) (Sheehan, David) (Entered: 02/17/2012) (90 pgs; 5 docs)
Apr. 25, 2012	4793	Seventh Trustee's Interim Report For The Period Ending March 31, 2012 filed by Irving H. Picard on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A)(Picard, Irving) (Entered: 04/25/2012) (80 pgs; 2 docs)
Aug. 01, 2012	4936	Ninth Application for Interim Professional Compensation / Ninth Application of Trustee and Baker & Hostetler LLP for Allowance of Interim Compensation for Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred from October 1, 2011 through January 31, 2012 for Baker & Hostetler, L.L.P., Trustee's Attorney, period: 10/1/2011 to 1/31/2012, fee:\$48107863.8, expenses: \$866625.44. filed by Baker & Hostetler, L.L.P.. with hearing to be held on 8/29/2012 at 10:00 AM at Courtroom 623 (BRL) Responses due by 8/22/2012, (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D# 5 Exhibit E) (Sheehan, David) (Entered: 08/01/2012) (93 pgs; 6 docs)
Aug. 30, 2012	5012	Order Granting Application for Interim Professional Compensation (Related Doc # 4937)for Eugene F. Collins, fees awarded: \$3,779.99, expense awarded: \$44.99, Granting Application for Interim Professional Compensation (Related

Filing/Entry Date	ECF No.	Docket Text
		<p>Doc # 4938)for Schiltz & Schiltz, fees awarded: \$25,059.48, expense awarded: \$2,821.49, Granting Application for Interim Professional Compensation (Related Doc # 4939)for Higgs Johnson Truman Bodden & Co., fees awarded: \$59,983.20, expense awarded: \$2,423.24, Granting Application for Interim Professional Compensation (Related Doc # 4940)for Soroker - Agmon, fees awarded: \$200,597.17, expense awarded: \$3,377.18, Granting Application for Interim Professional Compensation (Related Doc # 4941)for Graf & Pitkowitiz Rechtsanwalte GmbH, fees awarded: \$399,288.56, expense awarded: \$16,937.56, Granting Application for Interim Professional Compensation (Related Doc # 4942)for SCA Creque, fees awarded: \$207,250.07, expense awarded: \$1,900.00, Granting Application for Interim Professional Compensation (Related Doc # 4943)for Young Conaway Stargatt & Taylor, LLP, fees awarded: \$19,671.84, expense awarded: \$967.27, Granting Application for Interim Professional Compensation (Related Doc # 4944)for Williams, Barristers & Attorneys, fees awarded: \$335,164.08, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 4945)for Taylor Wessing, fees awarded: \$857,214.55, expense awarded: \$529,103.58, Granting Application for Interim Professional Compensation (Related Doc # 4946)for UGGC & Associes, fees awarded: \$51,080.72, expense awarded: \$799.49, Granting Application for Interim Professional Compensation (Related Doc # 4947)for Attias & Levy, fees awarded: \$36,676.54, expense awarded: \$1,104.43, Granting Application for Interim Professional Compensation (Related Doc # 4948)for Werder Vigano, fees awarded: \$1,395.97, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 4949)for Greenfield Stein & Senior, LLP, fees awarded: \$20,041.25, expense awarded: \$631.85, Granting Application for Interim Professional Compensation (Related Doc # 4950)for Browne Jacobson, LLP, fees awarded: \$12,624.36, expense awarded: \$2,703.59, Granting Application for Interim Professional Compensation (Related Doc # 4951)for Windels Marx Lane & Mittendorf, LLP, fees awarded: \$2,563,075.68, expense awarded: \$24,142.98, Granting Application for Interim Professional Compensation (Related Doc # 4936)for Baker & Hostetler, L.L.P., fees awarded: \$59,297,077.43, expense awarded: \$866,625.44; signed on 8/29/2012 (White, Greg) (Entered: 08/30/2012) (8 pgs)</p>
Oct. 12, 2012	5036	Memorandum of Law of the Securities Investor Protection

Filing/Entry Date	ECF No.	Docket Text
		Corporation in Support of Trustee's Motion for an Order Affirming Trustee's Calculations of Net Equity and Denying Time-Based Damages filed by Josephine Wang on behalf of Securities Investor Protection Corporation. (Wang, Josephine) (Entered: 10/12/2012) (41 pgs)
Oct. 12, 2012	5038	Motion to Approve / Notice of Trustee's Motion for an Order Affirming Trustee's Calculations of Net Equity and Denying Time-Based Damages (related document(s)5022) filed by David J. Sheehan on behalf of Irving H. Picard. with hearing to be held on 1/10/2013 at 10:00 AM at Courtroom 623 (BRL) Responses due by 11/12/2012, (Attachments: # 1 Exhibit A to Notice of Trustee's Motion# 2 Trustees Motion for an Order Affirming Trustees Calculations of Net Equity and Denying Time-Based Damages) (Sheehan, David) (Entered: 10/12/2012) (16 pgs; 3 docs)
Oct. 12, 2012	5039	Memorandum of Law / Memorandum of Law in Support of Trustee's Motion for an Order Affirming Trustee's Calculations of Net Equity and Denying Time-Based Damages (related document(s)5038) filed by David J. Sheehan on behalf of Irving H. Picard. Objections due by 11/12/2012, (Sheehan, David) (Entered: 10/12/2012) (39 pgs)
Oct. 12, 2012	5040	Declaration / Declaration of Bik Cheema in Support of The Trustee's Motion for an Order Affirming The Trustee's Calculation of Net Equity and Denying Time-Based Damages (related document(s)5038, 5039) filed by David J. Sheehan on behalf of Irving H. Picard. with hearing to be held on 1/10/2013 at 10:00 AM at Courtroom 623 (BRL) Objections due by 11/12/2012, (Attachments: # 1 Exhibit A# 2 Exhibit B) (Sheehan, David) (Entered: 10/12/2012) (79 pgs; 3 docs)
Oct. 12, 2012	5041	Declaration / Declaration of Robert J. Rock in Connection with The Trustee's Motion for an Order Affirming The Trustee's Calculation of Net Equity and Denying Time-Based Damages (related document(s)5038, 5039) filed by David J. Sheehan on behalf of Irving H. Picard. with hearing to be held on 1/10/2013 at 10:00 AM at Courtroom 623 (BRL) Objections due by 11/12/2012, (Sheehan, David) (Entered: 10/12/2012) (5 pgs)
Nov. 05, 2012	5066	Trustee's Interim Report / Trustee's Eighth Interim Report for the Period Ending September 30, 2012 filed by Irving H. Picard on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A)(Picard, Irving) (Entered: 11/05/2012) (91 pgs; 2 docs)
Nov. 28, 2012	5097	Tenth Application for Interim Professional Compensation / Tenth Application of Trustee and Baker & Hostetler LLP for Allowance of Interim Compensation for Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred from February 1, 2012 through June 30, 2012 for Baker &

Filing/Entry Date	ECF No.	Docket Text
		Hostetler, L.L.P., Trustee's Attorney, period: 2/1/2012 to 6/30/2012, fee:\$61671551.85, expenses: \$1,038,317.94. filed by Baker & Hostetler, L.L.P.. with hearing to be held on 12/19/2012 at 10:00 AM at Courtroom 623 (BRL) Responses due by 12/12/2012, (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D) (Sheehan, David) (Entered: 11/28/2012) (102 pgs; 5 docs)
Dec. 03, 2012	5133	Opposition Brief CUSTOMERS' BRIEF OPPOSING TRUSTEE'S MOTION FOR AN ORDER REJECTING AN INFLATION ADJUSTMENT TO THE CALCULATION OF "NET EQUITY" (related document(s)5038) filed by Peter Gregory Schwed on behalf of Customers Set forth on Schedule A. (Schwed, Peter) (Entered: 12/03/2012) (59 pgs)
Dec. 03, 2012	5134	Affidavit DECLARATION OF P. GREGORY SCHWED IN SUPPORT OF CUSTOMERS' BRIEF OPPOSING TRUSTEE'S MOTION FOR AN ORDER REJECTING AN INFLATION ADJUSTMENT TO THE CALCULATION OF "NET EQUITY" (related document(s)5133) filed by Peter Gregory Schwed on behalf of Customers Set forth on Schedule A. (Schwed, Peter) (Entered: 12/03/2012) (35 pgs)
Dec. 10, 2012	5142	Memorandum of Law of Securities and Exchange Commission Supporting a Constant Dollar Approach to Valuing Customers' Net Equity Claims for Fictitious Securities Positions(Related Document #5038) filed by Patricia Schrage on behalf of Securities and Exchange Commission. (Schrage, Patricia) (Modified on 12/11/2012 to Add Link Related Document) (Richards, Beverly). (Entered: 12/10/2012) (19 pgs)
Dec. 19, 2012	5161	Order Granting Application for Interim Professional Compensation (Related Doc # 5096)for Windels Marx Lane & Mittendorf, LLP, fees awarded: \$2,388,983.12, expense awarded: \$20,442.30, Granting Application for Interim Professional Compensation (Related Doc # 5097)for Baker & Hostetler, L.L.P., fees awarded: \$55,504,396.69, expense awarded: \$1,038,317.94, Granting Application for Interim Professional Compensation (Related Doc # 5098)for Eugene F. Collins, fees awarded: \$1,393.20, expense awarded: \$213.05, Granting Application for Interim Professional Compensation (Related Doc # 5099)for Schiltz & Schiltz, fees awarded: \$36,407.81, expense awarded: \$2,958.15, Granting Application for Interim Professional Compensation (Related Doc # 5100)for Higgs Johnson Truman Bodden & Co., fees awarded: \$87,996.60, expense awarded: \$13,189.15, Granting Application for Interim Professional Compensation (Related Doc # 5102)for Graf & Pitkowitz Rechtsanwälte GmbH, fees awarded: \$525,308.95, expense awarded: \$30,701.66, Granting

Filing/Entry Date	ECF No.	Docket Text
		Application for Interim Professional Compensation (Related Doc # 5103)for SCA Creque, fees awarded: \$91,427.98, expense awarded: \$1,993.00, Granting Application for Interim Professional Compensation (Related Doc # 5104)for Young Conaway Stargatt & Taylor, LLP, fees awarded: \$95,886.00, expense awarded: \$11,497.84, Granting Application for Interim Professional Compensation (Related Doc # 5105)for Williams, Barristers & Attorneys, fees awarded: \$262,934.50, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 5107)for UGGC & Associes, fees awarded: \$67,867.99, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 5108)for Werder Vigano, fees awarded: \$24,468.12, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 5109)for Greenfield, Stein and Senior, LLP, fees awarded: \$4,639.68, expense awarded: \$53.88, Granting Application for Interim Professional Compensation (Related Doc # 5110)for Browne Jacobson, LLP, fees awarded: \$58,784.65, expense awarded: \$12.65, Granting Application for Interim Professional Compensation (Related Doc # 5111)for Osborne & Osborne, P.A., fees awarded: \$1,100.16, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 5101)for Sorokor - Agmon, fees awarded: \$340,073.44, expense awarded: \$3,445.07, Granting Application for Interim Professional Compensation (Related Doc # 5106)for Taylor Wessing, fees awarded: \$2,332,952.43, expense awarded: \$1,211,136.84; signed on 12/19/2012 (White, Greg) (Entered: 12/19/2012) (8 pgs)
Jan. 02, 2013	5181	Amended Order Approving Applications for Allowance of Compensation for Services Rendered and Reimbursement of Expenses signed on 1/2/2013 (related document(s)4735) (White, Greg) (Entered: 01/02/2013) (8 pgs)
Jan. 23, 2013	5212	Amended Time-Based Damages Scheduling Order signed on 1/23/2013 (related document(s)5022). (Saenz De Viteri, Monica) (Entered: 01/23/2013) (5 pgs)
Mar. 07, 2013	5258	Errata Order re: Interim Fee Orders signed on 2/21/13 (related document(s)4125, 3474, 363, 4471, 5181, 5012, 5161) (White, Greg) (Entered: 03/07/2013) (34 pgs; 8 docs)
Apr. 26, 2013	5329	Supplemental Opposition Brief CUSTOMERS' SUPPLEMENTAL BRIEF OPPOSING TRUSTEE'S MOTION FOR AN ORDER REJECTING AN INFLATION ADJUSTMENT TO THE CALCULATION OF "NET EQUITY" (related document(s)5038) filed by Richard A. Kirby on behalf of Customers Set forth on Schedule A. (Kirby,

Filing/Entry Date	ECF No.	Docket Text
		Richard) (Entered: 04/26/2013) (31 pgs)
Apr. 26, 2013	5330	Declaration OF RICHARD A. KIRBY IN SUPPORT OF CUSTOMERS' SUPPLEMENTAL BRIEF OPPOSING TRUSTEE'S MOTION FOR AN ORDER REJECTING AN INFLATION ADJUSTMENT TO THE CALCULATION OF "NET EQUITY" filed by Richard A. Kirby on behalf of Customers Set forth on Schedule A. (Kirby, Richard) (Entered: 04/26/2013) (65 pgs)
Apr. 26, 2013	5331	Declaration OF TIMOTHY H. HART, CPA, CFE (EXPERT REPORT) filed by Richard A. Kirby on behalf of Customers Set forth on Schedule A. (Kirby, Richard) (Entered: 04/26/2013) (19 pgs)
Apr. 29, 2013	5333	Application for Interim Professional Compensation / Eleventh Application of Trustee and Baker & Hostetler LLP for Allowance of Interim Compensation for Services Rendered and Reimbursement of Actual and Necessary Expenses Incurred from July 1, 2012 through November 30, 2012 for Baker & Hostetler, L.L.P., Trustee's Attorney, period: 7/1/2012 to 11/30/2012, fee:\$49126984.20, expenses: \$1158883.26. filed by Baker & Hostetler, L.L.P.. with hearing to be held on 5/29/2013 at 10:00 AM at Courtroom 623 (BRL) Responses due by 5/22/2013, (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D) (Sheehan, David) (Entered: 04/29/2013) (106 pgs; 5 docs)
Apr. 26, 2013	5352	Transcript regarding Hearing Held on 04/25/2013 10:04AM RE: Trustees Motion For An Order Affirming Trustees Calculations Of Net Equity And Denying Time Based Damages..et al... Remote electronic access to the transcript is restricted until 7/25/2013. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Reporting Company.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 5/3/2013. Statement of Redaction Request Due By 5/17/2013. Redacted Transcript Submission Due By 5/28/2013. Transcript access will be restricted through 7/25/2013. (Ortiz, Carmen) (Entered: 05/01/2013) (28 pgs)
Apr. 29, 2013	5332	Supplemental Opposition Brief CUSTOMERS' CORRECTED SUPPLEMENTAL BRIEF OPPOSING TRUSTEE'S MOTION FOR AN ORDER REJECTING AN INFLATION ADJUSTMENT TO THE CALCULATION OF "NET EQUITY" filed by Richard A. Kirby on behalf of Customers Set forth on Schedule A. (Kirby, Richard) (Entered: 04/29/2013) (31 pgs)
Apr. 30, 2013	5351	Trustee's Interim Report / Trustee's Ninth Interim Report for

Filing/Entry Date	ECF No.	Docket Text
		the Period Ending March 31, 2013 filed by Irving H. Picard on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A)(Picard, Irving) (Entered: 04/30/2013) (101 pgs; 2 docs)
June 05, 2013	5383	Order Granting Application for Interim Professional Compensation (Related Doc # 5333)for Baker & Hostetler, L.L.P., fees awarded: \$44,214,285.79, expense awarded: \$1,158,883.26, Granting Application for Interim Professional Compensation (Related Doc # 5334)for Windels Marx Lane & Mittendorf, LLP, fees awarded: \$1,379,197.60, expense awarded: \$25,804.05, Granting Application for Interim Professional Compensation (Related Doc # 5335)for Browne Jacobson, LLP, fees awarded: \$84,111.49, expense awarded: \$26,141.07, Granting Application for Interim Professional Compensation (Related Doc # 5336)for Graf & Pitkowitz Rechtsanwalte GmbH, fees awarded: \$454,845.83, expense awarded: \$17,031.80, Granting Application for Interim Professional Compensation (Related Doc # 5337)for Greenfield Stein & Senior, LLP, fees awarded: \$295.20, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 5338)for Higgs & Johnson (formerly Higgs Johnson Truman Bodden & Co.), fees awarded: \$45,549.00, expense awarded: \$1,578.21, Granting Application for Interim Professional Compensation (Related Doc # 5339)for La Tanzi, Spaulding & Landreth, P.C., fees awarded: \$5,702.40, expense awarded: \$349.40, Granting Application for Interim Professional Compensation (Related Doc # 5340)for SCA Creque, fees awarded: \$5,582.05, expense awarded: \$150.00, Granting Application for Interim Professional Compensation (Related Doc # 5341)for Schiltz & Schiltz, fees awarded: \$27,760.66, expense awarded: \$2,255.55, Granting Application for Interim Professional Compensation (Related Doc # 5344)for Triay Stagnetto Neish, fees awarded: \$48,771.28, expense awarded: \$383.80, Granting Application for Interim Professional Compensation (Related Doc # 5345)for UGGC & Associes, fees awarded: \$72,984.90, expense awarded: \$647.19, Granting Application for Interim Professional Compensation (Related Doc # 5346)for Werder Vigano, fees awarded: \$11,878.69, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 5347)for Williams, Barristers & Attorneys, fees awarded: \$202,250.84, expense awarded: \$0.00, Granting Application for Interim Professional Compensation (Related Doc # 5348)for Young Conaway Stargatt & Taylor, LLP, fees awarded: \$34,167.60, expense awarded: \$7,374.19, Granting Application for Interim Professional Compensation (Related

Filing/Entry Date	ECF No.	Docket Text
		Doc # 5342)for Sorokor - Agmon, fees awarded: \$420,913.16, expense awarded: \$5,530.58, Granting Application for Interim Professional Compensation (Related Doc # 5343)for Taylor Wessing, fees awarded: \$3,403,857.72, expense awarded: \$2,056,150.34; signed on 5/29/2013 (White, Greg) (Entered: 06/05/2013) (8 pgs)
July 18, 2013	5413	Reply to Motion Reply Memorandum of Law of the Securities Investor Protection Corporation in Support of Trustee's Motion for an Order Affirming Trustee's Calculations of Net Equity and Deyning Time-Based Damages (related document(s)5038) filed by Josephine Wang on behalf of Securities Investor Protection Corporation. (Wang, Josephine) (Entered: 07/18/2013) (41 pgs)
July 18, 2013	5415	Reply to Motion / Reply Memorandum of Law in Support of Trustees Motion for an Order Affirming Trustees Calculations of Net Equity and Denying Time-Based Damages (related document(s)5038) filed by David J. Sheehan on behalf of Irving H. Picard. (Sheehan, David) (Entered: 07/18/2013) (29 pgs)
July 18, 2013	5416	Declaration of Vineet Sehgal in Support of Trustee's Motion for an Order Affirming Trustee's Calculations of Net Equity and Denying Time-Based Damages (related document(s)5415) filed by David J. Sheehan on behalf of Irving H. Picard. (Sheehan, David) (Entered: 07/18/2013) (3 pgs)
Aug. 12, 2013	5444	Memorandum of Law / Customers' Opposition to Trustee's Request for Exclusion of Hart Expert Testimony (related document(s)5415) filed by Richard A. Kirby on behalf of Customers Set forth on Schedule A. (Attachments: # 1 Schedule A) (Kirby, Richard) (Entered: 08/12/2013) (42 pgs; 2 docs)

C. Additional Material Designated by Appellants

Filing/Entry Date	ECF No.	Docket Text
Aug. 18, 2009	370	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Paul J Robinson. (Attachments: 1 Exhibit A.2 Exhibit B.) (Weiss, Stephen) (Entered: 08/18/2009) (20 pgs; 2 docs)
Aug. 26, 2009	382	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Jerry Guberman. (Attachments: 1 Exhibit Exhibit A - Determination Letter2 Exhibit Exhibit B - Guberman SIPC Claim3 Exhibit Exhibit C -

Filing/Entry Date	ECF No.	Docket Text
		New Time Transcript4 Exhibit Certificate of Service) (Landers, Jonathan) (Entered: 08/26/2009) (22 pgs; 4 docs)
Aug. 28, 2009	396	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Judith Rock Goldman. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 08/28/2009) (141 pgs; 4 docs)
Aug. 28, 2009	397	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Anita Karimian. (Attachments: 1 Exhibit A (Determination Letter)2 Exhibit B (Customer Claim Form)3 Exhibit C (New Times transcript)4 Certificate of Service) (Landers, Jonathan) (Entered: 08/28/2009) (140 pgs; 4 docs)
Aug. 28, 2009	398	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Albert J. Goldstein U/W FBO Ruth E. Goldstein TTEE. (Attachments: 1 Exhibit A (Determination Letter)2 Exhibit B (Customer Claim)3 Exhibit C (New Times Transcript)4 Certificate of Service) (Landers, Jonathan) (Entered: 08/28/2009) (142 pgs; 4 docs)
Sept. 03, 2009	409	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Ann Denver. (Attachments: 1 Exhibit A (Determination Letter)2 Exhibit B (Customer Claim Form)3 Exhibit C (New Times Transcript)4 Certificate of Service) (Landers, Jonathan) (Entered: 09/03/2009) (26 pgs; 4 docs)
Sept. 16, 2009	435	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Norton Eisenberg. (Attachments: 1 Exhibit A (Determination Letter)2 Exhibit B (Customer Claim)3 Exhibit C (New Times Transcript)4 Exhibit (Certificate of Service)) (Landers, Jonathan) (Entered: 09/16/2009) (21 pgs; 4 docs)
Sept. 16, 2009	436	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Export Technicians, Inc.. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Index C. New Times Transcript) (Weiss, Stephen) (Entered: 09/16/2009) (155 pgs; 4 docs)
Sept. 24, 2009	450	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Harold A. Thau. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 09/24/2009) (54 pgs; 5 docs)
Sept. 24, 2009	451	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Harold A. Thau.

Filing/Entry Date	ECF No.	Docket Text
		(Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 09/24/2009) (55 pgs; 5 docs)
Sept. 24, 2009	452	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of The Aspen Company. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 09/24/2009) (55 pgs; 5 docs)
Sept. 24, 2009	453	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Bernard Seldon. (Attachments: 1 Exhibit A. Determination Letter2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 09/24/2009) (47 pgs; 4 docs)
Sept. 24, 2009	460	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Stephen R. Goldenberg. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 09/24/2009) (49 pgs; 5 docs)
Sept. 29, 2009	484	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Irving Gerberg. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 09/29/2009) (50 pgs; 4 docs)
Oct. 28, 2009	548	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Michael and Sandra Silverstein. (Attachments: 1 Exhibit Exhibit A - Determination Letter2 Exhibit Exhibit B - Customer Claim3 Exhibit Exhibit C - New Times Transcript4 Exhibit Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 10/28/2009) (37 pgs; 5 docs)
Nov. 02, 2009	574	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Judith G. Damron. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/02/2009) (36 pgs; 5 docs)
Nov. 10, 2009	668	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Onesco International Ltd.. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/10/2009) (36 pgs; 5 docs)

Filing/Entry Date	ECF No.	Docket Text
		11/10/2009) (49 pgs; 5 docs)
Nov. 10, 2009	669	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Amy Thau Friedman. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/10/2009) (56 pgs; 5 docs)
Nov. 10, 2009	670	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Michael H. and Lisa Ostrove. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/10/2009) (37 pgs; 5 docs)
Nov. 10, 2009	671	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Kenneth D. Bane 2006 Trust, Kenneth D. Bane, Trustee. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Certificate of Service) (Landers, Jonathan) (Entered: 11/10/2009) (33 pgs; 5 docs)
Nov. 10, 2009	672	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Lester Kolodny. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Certificate of Service) (Landers, Jonathan) (Entered: 11/10/2009) (36 pgs; 5 docs)
Nov. 10, 2009	673	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of William M. Woessner. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Certificate of Service) (Landers, Jonathan) (Entered: 11/10/2009) (36 pgs; 5 docs)
Nov. 10, 2009	676	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Michael E. Thau. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/10/2009) (55 pgs; 5 docs)
Nov. 10, 2009	680	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Jan Marcus Capper. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Errata C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/10/2009) (37 pgs; 5 docs)
Nov. 10, 2009	687	Objection to Trustee's Determination of Claim filed by

Filing/Entry Date	ECF No.	Docket Text
		Jonathan M. Landers on behalf of John Denver Concerts Inc. Pension Plan Trust. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/10/2009) (61 pgs; 5 docs)
Nov. 10, 2009	696	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Dorothy B. Seldon. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Exhibit C. New Time Transcript4 Certificate of Service) (Weiss, Stephen) (Entered: 11/10/2009) (54 pgs; 5 docs)
Nov. 11, 2009	705	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of I Martin Gelman and Michale Dancer JT/WROS. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim, Prt. 13 Exhibit B. Customer Claim, Prt. 24 Exhibit C. New Time Transcript5 Certificate of Service) (Weiss, Stephen) (Entered: 11/11/2009) (122 pgs; 6 docs)
Nov. 11, 2009	706	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Barbara Schlossberg. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Index C. New Times Transcript4 Certificate of Service) (Weiss, Stephen) (Entered: 11/11/2009) (40 pgs; 5 docs)
Nov. 11, 2009	707	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Aspen Fine Arts Co.. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/11/2009) (64 pgs; 5 docs)
Nov. 11, 2009	708	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Aspen Fine Arts Co. Defined Contribution Plan. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/11/2009) (61 pgs; 5 docs)
Nov. 11, 2009	709	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Potamkin Family Foundation I, Inc.. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/11/2009) (42 pgs; 5 docs)
Nov. 11, 2009	710	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Robert and Lexie Potamkin.

Filing/Entry Date	ECF No.	Docket Text
		(Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/11/2009) (36 pgs; 5 docs)
Nov. 11, 2009	711	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Jerry Guberman Trust Dated 12/23/93. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/11/2009) (40 pgs; 5 docs)
Nov. 11, 2009	712	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Trust U/W/O Harriette Myers. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/11/2009) (38 pgs; 5 docs)
Nov. 12, 2009	718	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Marilyn C Gross. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Index C. New Times Transcript4 Certificate of Service) (Weiss, Stephen) (Entered: 11/12/2009) (131 pgs; 5 docs)
Nov. 12, 2009	733	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Lewis Franck. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript4 Certificate of Service) (Weiss, Stephen) (Entered: 11/12/2009) (42 pgs; 5 docs)
Nov. 16, 2009	829	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Leila F. Sobin (IRA). (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript4 Certificate of Service) (Weiss, Stephen) (Entered: 11/16/2009) (38 pgs; 5 docs)
Nov. 17, 2009	854	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Nur C Gangji, Trustee. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript4 Certificate of Service) (Weiss, Stephen) (Entered: 11/17/2009) (100 pgs; 5 docs)
Nov. 17, 2009	861	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Robin Popkin Logue, Trustee of the Joseph S. Popkin Revocable Trust. (Attachments: 1 Exhibit A. Determination2 Exhibit B.

Filing/Entry Date	ECF No.	Docket Text
		Customer Claim, Prt. 13 Exhibit Ex. B Customer Claim Prt. 24 Exhibit C. New Times Transcript5 Certificate of Service) (Weiss, Stephen) (Entered: 11/17/2009) (386 pgs; 6 docs)
Nov. 17, 2009	867	OBJECTION TO TRUSTEE'S DETERMINATION OF CLAIM filed by Jonathan M. Landers on behalf of Judith Rock Goldman. (Attachments: 1 Exhibit A Determination Letter2 Exhibit B Goldman Customer Claim3 Exhibit C New Times Transcript (excerpt)4 Certificate of Service) (Landers, Jonathan) (Entered: 11/17/2009) (59 pgs; 5 docs)
Nov. 17, 2009	870	OBJECTION TO TRUSTEE'S DETERMINATION OF CLAIM filed by Jonathan M. Landers on behalf of Anita Karimian. (Attachments: 1 Exhibit A Determination Letter2 Exhibit B Karimian Customer Claim3 Exhibit C New Times Transcript (excerpt)4 Certificate of Service) (Landers, Jonathan) (Entered: 11/17/2009) (58 pgs; 5 docs)
Nov. 17, 2009	872	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of The Marcus Family Limited Partnership. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 11/17/2009) (36 pgs; 5 docs)
Nov. 17, 2009	873	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Steven V. Marcus Seperate Property Marcus Family Trust. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 11/17/2009) (36 pgs; 5 docs)
Nov. 18, 2009	885	OBJECTION TO TRUSTEE'S DETERMINATION OF CLAIM filed by Jonathan M. Landers on behalf of Horowitz Family Trust. (Attachments: 1 Exhibit A Determination Letter2 Exhibit B Customer Claim Form3 Exhibit C New Times Transcript (excerpt)4 Certificate of Service) (Landers, Jonathan) (Entered: 11/18/2009) (121 pgs; 5 docs)
Nov. 18, 2009	886	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of P. Charles Gabriele. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 11/18/2009) (41 pgs; 5 docs)
Nov. 18, 2009	912	OBJECTION TO TRUSTEE'S DETERMINATION OF CLAIM filed by Jonathan M. Landers on behalf of Ruth E. Goldstein. (Attachments: 1 Exhibit A Determination Letter2 Exhibit B Customer Claim Form3 Exhibit C New Times Transcript (excerpt)4 Certificate of Service) (Landers, Jonathan) (Entered: 11/18/2009) (43 pgs; 5 docs)
Nov. 18, 2009	913	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Marvin Schlachter.

Filing/Entry Date	ECF No.	Docket Text
		(Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 11/18/2009) (37 pgs; 5 docs)
Nov. 18, 2009	915	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Trudy Schlachter. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 11/18/2009) (40 pgs; 5 docs)
Nov. 18, 2009	941	OBJECTION TO TRUSTEE'S DETERMINATION OF CLAIM filed by Jonathan M. Landers on behalf of Ruth E. Goldstein. (Attachments: 1 Exhibit A Determination Letter2 Exhibit B Customer Claim Form3 Exhibit C New Times Transcript (excerpt)4 Certificate of Service) (Landers, Jonathan) (Entered: 11/18/2009) (42 pgs; 5 docs)
Nov. 25, 2009	1019	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Albert Family Retirement LP. (Attachments: 1 Exhibit A - Determination Letter 2 Exhibit B - Customer Claim 3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/25/2009) (55 pgs; 5 docs)
Nov. 25, 2009	1020	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of 2427 Parent Corporation. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 11/25/2009) (41 pgs; 5 docs)
Dec. 08, 2009	1047	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Forecast Designs Retirement Trust. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 12/08/2009) (39 pgs; 5 docs)
Dec. 17, 2009	1080	OBJECTION TO TRUSTEE'S DETERMINATION OF CLAIM filed by Jonathan M. Landers on behalf of June Pollack. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Determination Letter3 Exhibit C- New Times Transcript (excerpt)4 Certificate of Service) (Landers, Jonathan) (Entered: 12/17/2009) (35 pgs; 5 docs)
Dec. 29, 2009	1136	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Stacey Mathias and Michael Mathias, Trustees of the Katharine Brown Trust. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript4 Certificate of Service) (Weiss, Stephen) (Entered: 12/29/2009)

Filing/Entry Date	ECF No.	Docket Text
		(58 pgs; 5 docs)
Jan. 19, 2010	1777	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Robert Potamkin. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 01/19/2010) (35 pgs; 5 docs)
Feb. 16, 2010	1949	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Joseph Sloves. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 02/16/2010) (56 pgs; 5 docs)
Feb. 26, 2010	1998	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Linda & Howard Berger. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 02/26/2010) (45 pgs; 5 docs)
Mar. 04, 2010	2013	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Deborah G. Katz and Deborah Katz as Custodian for Alexander & Jason Katz T/I/C. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Time Transcript4 Certificate of Service) (Weiss, Stephen) (Entered: 03/04/2010) (40 pgs; 5 docs)
Mar. 04, 2010	2014	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Andrew Katz and Deborah Katz JT TEN. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Exhibit C. New Time Transcript4 Certificate of Service) (Weiss, Stephen) (Entered: 03/04/2010) (38 pgs; 5 docs)
Mar. 11, 2010	2029	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Aspen Fine Arts Co. Defined Contribution Plan. (Attachments: 1 Exhibit A - First Determination Letter2 Exhibit B - Second Determination Letter3 Exhibit C - 1EM320 Customer Claim4 Exhibit D - 1EM414 Customer Claim5 Exhibit E - New Times Transcript6 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 03/11/2010) (115 pgs; 7 docs)
Apr. 01, 2010	2120	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of John Malkovich Pension Plan and Trust. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit D - Final BMIS Statement5 Exhibit

Filing/Entry Date	ECF No.	Docket Text
		- Certificate of Service) (Landers, Jonathan) (Entered: 04/01/2010) (31 pgs; 6 docs)
Apr. 07, 2010	2146	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Elizabeth Harris Brown. (Attachments: 1 Exhibit A: Determination2 Exhibit B: Customer Claim3 Exhibit C: New Times Transcript) (Weiss, Stephen) (Entered: 04/07/2010) (41 pgs; 4 docs)
Apr. 09, 2010	2185	OBJECTION TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Westben Corp.. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 04/09/2010) (61 pgs; 4 docs)
Apr. 16, 2010	2214	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of The Adina Michaeli Revocable Trust. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 04/16/2010) (44 pgs; 5 docs)
Apr. 22, 2010	2221	OBJECTION TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Jack Kaufman and Phyllis Kaufman JTWROS. (Attachments: 1 Exhibit A. Revised Determination2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 04/22/2010) (78 pgs; 4 docs)
May 11, 2010	2271	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Richard Roth. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 05/11/2010) (40 pgs; 5 docs)
May 12, 2010	2277	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Jonathan Michaeli. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 05/12/2010) (43 pgs; 5 docs)
May 19, 2010	2288	Objection TO TRUSTEES DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Elbert R. Brown, Trustee U/T/D/ 12/29/88. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 05/19/2010) (79 pgs; 4 docs)
May 27, 2010	2343	Objection TO TRUSTEE'S DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Viola Brown, Trustee U/T/D/ 12/29/88. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 05/27/2010) (88 pgs; 4 docs)

Filing/Entry Date	ECF No.	Docket Text
May 28, 2010	2346	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Lynn Lazarus Serper. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Certificate of Service) (Landers, Jonathan) (Entered: 05/28/2010) (25 pgs; 4 docs)
June 02, 2010	2351	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Laurence Leif. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit Certificate of Service) (Landers, Jonathan) (Entered: 06/02/2010) (58 pgs; 5 docs)
June 07, 2010	2366	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Gerald Blumenthal (IRA). (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 06/07/2010) (42 pgs; 5 docs)
June 07, 2010	2372	Objection to Notice of Trustee's Determination of Claim filed by Steven R. Schlesinger on behalf of Samuels Family Ltd. Partnership. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Exhibit D5 Exhibit E6 Exhibit F7 Exhibit G8 Exhibit H9 Exhibit I10 Exhibit J11 Exhibit K12 Exhibit L13 Affidavit of Service) (Schlesinger, Steven) (Entered: 06/07/2010) (200 pgs; 14 docs)
June 07, 2010	2373	Objection to the Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Michael Roth. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 06/07/2010) (37 pgs; 5 docs)
June 07, 2010	2374	Objection to the Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Lynda Roth. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 06/07/2010) (36 pgs; 5 docs)
June 09, 2010	2389	Objection TO TRUSTEE'S DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Robin I. Logue and Peter G. Logue, as co-trustees of the Logue Family Revocable Trust. (Attachments: 1 Exhibit A. Determination of Claim2 Index B. Customer Claim3 Exhibit C. New Time Transcript) (Weiss, Stephen) (Entered: 06/09/2010) (64 pgs; 4 docs)
June 11, 2010	2408	Objection TO TRUSTEE'S DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Leila F. Sobin. (Attachments: 1 Exhibit A. Determination2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 06/11/2010) (75 pgs; 4 docs)
June 11, 2010	2409	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Diane Sloves as Tstee Under

Filing/Entry Date	ECF No.	Docket Text
		Rev Tst Agreement Dtd 10/13/00 for the Benefit of Diane Sloves. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 06/11/2010) (53 pgs; 5 docs)
June 16, 2010	2439	Objection TO TRUSTEE'S DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Douglas G. Brown, as Trustee of The Douglas G. Brown Revocable Trust. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 06/16/2010) (46 pgs; 4 docs)
June 17, 2010	2448	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Richard Roth. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 06/17/2010) (38 pgs; 5 docs)
June 17, 2010	2449	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Florence Roth. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 06/17/2010) (38 pgs; 5 docs)
June 24, 2010	2469	Objection TO TRUSTEE'S DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Jonathan Sobin. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B. Customer Claim3 Exhibit B.2 Customer Claim4 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 06/24/2010) (101 pgs; 5 docs)
July 02, 2010	2509	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Delia Gail Rosenberg. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 07/02/2010) (43 pgs; 5 docs)
July 15, 2010	2753	Objection TO TRUSTEE'S DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Barbara Harris. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 07/15/2010) (36 pgs; 4 docs)
July 16, 2010	2766	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of William M. Woessner and Sheila A. Woessner. (Attachments: 1 Exhibit A2 Exhibit B3 Exhibit C4 Certificate of Service) (Landers, Jonathan) (Entered: 07/16/2010) (41 pgs; 5 docs)
July 28, 2010	2820	Objection TO TRUSTEE'S DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Do Stay, Inc.. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B.

Filing/Entry Date	ECF No.	Docket Text
		Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 07/28/2010) (53 pgs; 4 docs)
Aug. 06, 2010	2845	Objection TO TRUSTEE'S DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Lawrence I. Brown and Barbara Brown JTWROS. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 08/06/2010) (38 pgs; 4 docs)
Sept. 02, 2010	2963	Objection TO TRUSTEE'S DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Michael Mathias and Stacey Mathias JTWROS. (Attachments: 1 Exhibit A. Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 09/02/2010) (35 pgs; 4 docs)
Nov. 05, 2010	3121	Objection TO TRUSTEE'S REVISED DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Viola Brown, Trustee U/T/D/ 12/29/88. (Attachments: 1 Exhibit A. Revised Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Times Transcript) (Weiss, Stephen) (Entered: 11/05/2010) (89 pgs; 4 docs)
Nov. 05, 2010	3122	Objection TO TRUSTEE'S REVISED DETERMINATION OF CLAIM filed by Stephen A. Weiss on behalf of Elbert R. Brown, Trustee U/T/D/ 12/29/88. (Attachments: 1 Exhibit A. Revised Determination of Claim2 Exhibit B. Customer Claim3 Exhibit C. New Time Transcript) (Weiss, Stephen) (Entered: 11/05/2010) (81 pgs; 4 docs)
Dec. 01, 2010	3266	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of Advent Management Corp. Pension Plan and Trust. (Attachments: 1 Exhibit A - Claim Determination2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 12/01/2010) (63 pgs; 5 docs)
Dec. 29, 2010	3601	Objection to Trustee's Determination of Claim filed by Jonathan M. Landers on behalf of John Malkovich. (Attachments: 1 Exhibit A - Determination Letter2 Exhibit B - Customer Claim3 Exhibit C - New Times Transcript4 Exhibit - Certificate of Service) (Landers, Jonathan) (Entered: 12/29/2010) (63 pgs; 5 docs)

Dated: October 8, 2013

s/ Jennifer L. Young

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SCHEDULE A

Customers Represented by Milberg LLP and Seeger Weiss LLP

Customer	Account No.	Customer Claim No.	Objection Docket No.
2427 Parent Corporation	1T0058	005311	1020
Advent Management Corp. Pension Plan and Trust	1ZA466	009527	3266
Albert Family Retirement LP	1CM379	006902	1019
Albert J. Goldstein u/w FBO Ruth E. Goldstein	1ZA736	009316	398
Albert, Gary	1CM015	009762	2192
Andrew Katz and Deborah Katz JT	1KW342	001850	2014
Aspen Fine Arts Co.	1EM381	009406	707
Aspen Fine Arts Co. Defined Contribution Plan	1EM320	009020	708
Aspen Fine Arts Co. Defined Contribution Plan	1EM414	011426	2029
Blumenthal, Gerald	1B0166	006832	2366
Brown, Elizabeth Harris	1B0140	001691	2146
Capper, Jan Marcus	1EM468	011109	680
Damron, Judith G.	1ZA244	001150	574
Deborah G. Katz and Deborah Katz as Custodian for Alexander and Jason Katz TIC	1KW202	001841	2013
Denver, Ann	1ZA470	009710	409
Diane Sloves as Tstee Under Rev Tst Agreement Dtd 10/13/00 for the Benefit of D. Sloves	1S0274	009122	2409
Do Stay, Inc.	1D0040	002266	2820
Dorothy B. Seldon Revocable Living Trust	1ZA437	006616	696
Douglas G. Brown, Trustee of the Douglas G. Brown Revocable Trust	1B0139	015094	2439
Eisenberg, Norton A.	1CM296	008937	435
Elbert R. Brown Trustee UTD 12/29/88	1B0073	002263	2288, 3122
Elbert R. Brown Trustee UTD 12/29/88	1B0142	002696	N/A
Export Technicians	1ZA794	014260	436

Customer	Account No.	Customer Claim No.	Objection Docket No.
Forecast Designs Retirement Trust	1F0140	008441	1047
Franck, Lewis R.	1ZA440	015368	733
Friedman, Amy Thau	1ZA468	009525	669
Gabriele, P. Charles	1CM431	009180	886
Gerberg, Irving	1ZG029	014262	484
Goldenberg, Stephen R.	1CM391	010950	460
Goldman, Judith Rock	1ZW013	009794	396
Goldman, Judith Rock	1ZA490	009795	867
Goldstein, Ruth E.	1ZA735	009314	912
Goldstein, Ruth E.	1ZR125	009315	941
Gross, Marilyn Cohn	1ZA409	007054	718
Guberman, Jerry	1ZR060	010171	382
Harris, Barbara	1H0092	002704	2753
Jack Kaufman and Phyllis Kaufman JTWROS	1KW142	006571	2221
Jerry Guberman Trust Dated 12/23/93	1ZA407	009416	711
John Denver Concerts, Inc. Pension Plan Trust	1ZB085	009526	687
John Malkovich Pension Plan & Trust	1ZB131	007013	2120
Joseph S. Popkin Revocable Trust Dtd 2/9/06 Robin Popkin Logue	1ZA121	008885	861
June Pollack T/O/D to Keith L. Pollack and Cary G. Pollack	1CM884	000530	1080
Karimian, Anita	1ZW019	010930	397
Karimian, Anita	1ZA142	010932	870
Katharine Brown Trust	1B0141	004020	1136
Kenneth D. Bane 2006 Trust	1B0217	010437	671
Kolodny, Lester	1K0138	001066	672
Lawrence I. Brown and Barbara Brown JTWROS	1B0154	002892	2845
Leif, Laurence	1L0142	001204	2351
Linda Berger & Howard Berger J/T WROS	1ZB547	005115	1998
Malkovich, John G.	1ZB237	009529	3601
Martin Gelman and Michale Dancer JT/WROS	1ZB516	008502	705
Michael Mathias and Stacey Mathias JTWROS	1M0100	002619	2963
Michael Silverstein & Sandra	1ZA569	004678	548

Customer	Account No.	Customer Claim No.	Objection Docket No.
Silverstein J/T WROS			
Michaeli, Jonathan	1ZR304	007984	2277
NTC & Co. FBO Leila F. Sobin	1S0457	006479	829
Nur C. Gangji Trustee under Nur C Gangji Trust	1ZA201	008827	854
Onesco International, Ltd	1FR121	009658	668
Ostrove, Michael H. and Ostrove, Lisa	1CM360	008589	670
Potamkin Family Foundation I, Inc.	1P0107	005312	709
Potamkin Family Investments, Inc.	1P0108	005313	N/A
Potamkin, Lexie	1P0113	005310	N/A
Potamkin, Robert	1P0089	011263	1777
Potamkin, Robert & Lexie	1P0097	011264	710
Robert Horowitz & Harlene Horowitz as Trustees of the Horowitz Family Trust	1H0084	009145	885
Robin I. Logue and Peter Logue as cotrustees of the Logue Family Revocable Trust	1L0329	008883	2389
Robinson, Paul J.	1EM299	000469	370
Rosenberg, Delia Gail	1R0250	011095	2509
Roth, Florence	1R0047	000712	2449
Roth, Lynda	1R0054	000721	2374
Roth, Michael	1R0102	000722	2272
Roth, Michael	1R0057	000715	2373
Roth, Richard	1R0103	000711, 009177	2271
Roth, Richard	1R0060	000710	2448
Schlachter, Marvin	1S0185	010954	913
Schlachter, Trudy	1S0293	011044	915
Schlossberg, Barbara	1ZG022	000328	706
Seldon, Bernard (IRA)	1ZR050	006615	453
Serper, Lynn Lazarus	1EM243	010195	2346
Sloves, Joseph	1S0403	007959	1949
Sobin, Jonathan	1EM208	008501	2469
Sobin, Leila F.	1EM210	008499	2408
Steven V. Marcus Separate Property Marcus Family Trust	1EM469	002882	873
Thau, Harold A.	1ZA467	009522	450
Thau, Harold A.	1ZR261	009523	451

Customer	Account No.	Customer Claim No.	Objection Docket No.
Thau, Michael E.	1ZB275	009524	676
The Adina Michaeli Revocable Trust	1ZR305	007983, 009009	2214
The Aspen Company	1ZA471	009528	452
The Marcus Family Limited Partnership	1EM248	002880	872
Trust U/W/O Harriette Myers	1CM316	009521	712
Viola Brown Trustee UTD 12/29/88	1B0078	002317	2343, 3121
Viola Brown Trustee UTD 12/29/88	1B0128	002695	N/A
Westben Corp.	1CM336	008931	2185
Woessner, William M.	1CM275	000802, 006608	673
Woessner, William M. & Sheila A.	1CM191	000450, 006829	2766

Customers Represented by K&L Gates LLP

Customer	Account No.	Customer Claim No.	Objection Docket No.
Estate of Doris M. Pearlman (IRA)	1P0099	006373	N/A
Estate of Doris M. Pearlman (IRA)	1P0099	006869	N/A
Estate of Doris M. Pearlman (IRA)	1P0099	015649	N/A
Estate of Doris M. Pearlman (IRA)	1P0099	015652	N/A

CERTIFICATE OF SERVICE

I, Jennifer L. Young, hereby certify that on October 8, 2013, I caused a true and correct copy of the foregoing FED. R. BANKR. P. 8006 STATEMENT OF ISSUES AND DESIGNATION OF RECORD ON APPEAL to be served upon the parties to this action who receive electronic service through ECF. I also effectuated service on the following parties via First Class Mail:

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October 8, 2013

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